

Operations



SIONIC<sup>®</sup>

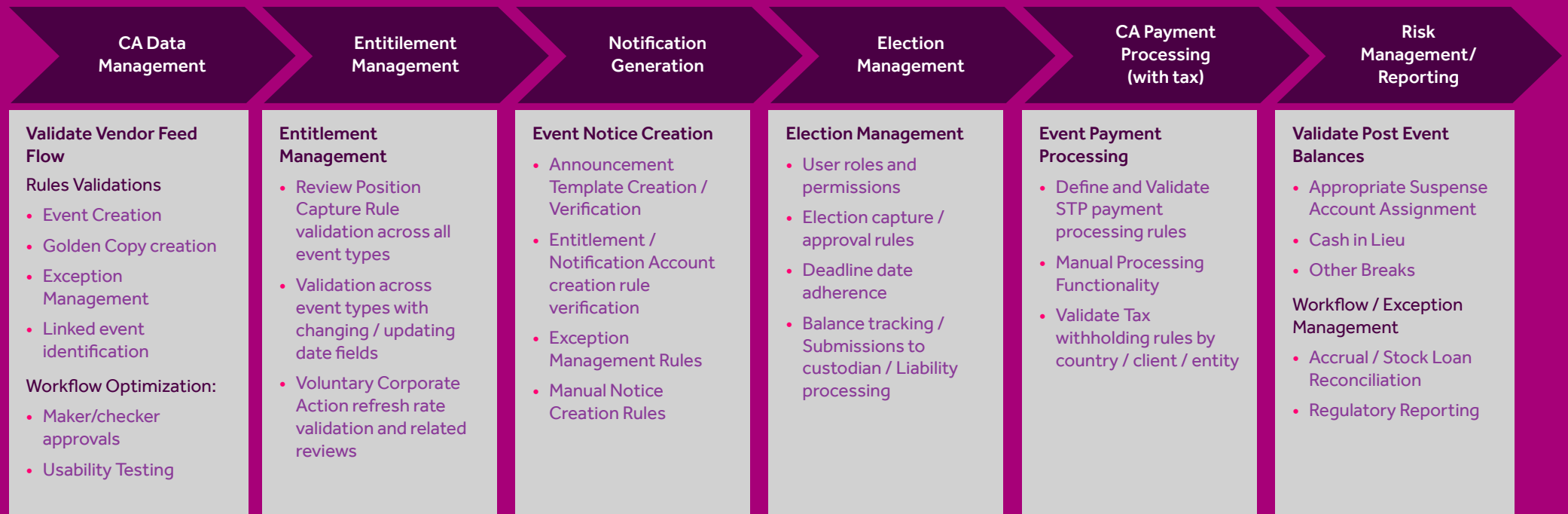
Global financial services specialists

Asset Servicing

Managing global corporate actions in today's market environment

# We support our clients across the entire **global corporate actions** arena

## Global corporate action lifecycle



What makes Sionnic different is our ability to help clients navigate growing complexity and risk within the **entire lifecycle** of **global corporate actions**



# Risk Review

This programme is designed as a six to eight-week sprint. We provide you with a risk analysis summary, highlighting potential risks within your existing corporate action life-cycle management and risk reporting infrastructures, along with associated recommendations for their improvement.

## Program highlights:

- a review of your current front-to-back asset servicing infrastructure, including a complete mapping of your technology, existing process flows, organisational charts and team structures and a view of your existing business unit support;
- face to face interviews with your asset servicing line leadership team and individuals one level below, to walk through the existing lifecycle management process and discuss your pain points and opportunities;
- a risk incident review with associated impact summaries;
- a review of your current risk reporting and metrics packets, along with interviews with your associate team leaders who compile and present that information; and
- a review of your future technology roadmap.

# Transformation Management

- **Business requirements collection and documentation**

Our expertise spans all product types and business support models. We help you identify, collect and draft business and technical requirements for your global transformation initiatives.

- **RFP preparation**

We will draft an RFP that leverages your business and technical requirements to analyse the leading vendor solutions and other commercial and strategic opportunities and allows you to improve your current architecture and explore the potential to increase the efficiency of your business processes.

- **Independent qualified recommendation**

At the end of the RFP process, we will provide an independent, qualified review and recommendation of the respective data providers and processing platforms. We will propose a solution that best meets your defined requirements and which provides best in breed attributes, along with the financial considerations we have used to validate our selection..

- **Post recommendation implementation services**

Once you have selected your new service provider, we offer additional project management and implementation services to help you through the entire implementation process, including project management, contract negotiations, testing, writing procedures and training.

- **Expansive corporate action vendor knowledge**

Our experts have detailed knowledge of a wide spectrum of corporate action third party service providers including Broadridge (BPS, ICI, Astrid, etc.); Markit (Data feed and Information Mosaic); FIS/XSP; FII; Cognizant/Merit Soft

# Corporate Action Risk Dashboard

We have developed a customisable dashboard that facilitates real-time decision making for financial institutions.

All financial institutions need to manage and interrogate sufficient volumes of complex data in order to make effective strategic and tactical decisions. But effective data analysis is frequently hampered by how data is presented, and obtaining meaningful results is often complex and time consuming.

In order to address this, we have created a new customisable dashboard that works in real time to enable you to make better data-driven decisions.

## Our full customisable Sionic dashboard enables you to:

- see your daily business results in an immediate, automated and personalised way;
- use bespoke KPIs and SLAs to see specific information that highlights any critical variations in your business operations;
- reduce the time it takes to extract and display raw data; and
- understand and react to critical information and manage your business in real time.

Our dashboards also include automatic maintenance and 'on alert' systems to ensure you receive critical information warnings and can respond within an effective timeframe.



**Sionic has developed a fully customisable dashboard that enables more effective data-driven decision making in real time.**

# Learning & Development

**We understand people.** Over more than 20 years, we have created an outstanding range of programs and resources that enable our clients to boost their individual, team and organizational performance.

**We help our clients to solve problems in four areas:**



Developed in collaboration with Sionic's learning and development specialists, our **Corporate Action Centric Operations Learning & Development Programme** combines soft skills training with an operational-focused delivery model. We use real business case assessments tailored to each client and delivered by leading Operations professionals.

# Meet the experts

**Jim Monahan**  
Partner



As an executive with over 30 years' experience in global operations, I've managed an array of different teams across several of the largest global broker dealers in the world. I am a recognised leader within the asset servicing community, leading global asset servicing teams within the retail and institutional client base and I have both a keen focus on, and a successful track record in delivering comprehensive risk mitigation through technology.

I joined the Sionic team from my role as Executive Director at Morgan Stanley New York, where I was both co-head of the Global Institutional Asset Servicing line teams and Global Head of the Asset Servicing 'Change the Bank' Programme. I'm also active in the industry more widely and have held several industry leadership positions, most notably on the Corporate Action Section Advisory Board of SIFMA; the Board of Broadridge's Independent Proxy Steering Committee and DTCC's Asset Servicing Advisory Board.

"Asset servicing is a specialist domain with enterprise-wide impact - and we work with clients worldwide across that entire spectrum."

**Jim Monahan**  
Partner

## OPERATIONS





# Meet the experts

**Joseph Denci**  
Managing Partner



As a senior operations executive and professional project manager, I lead financial services firms through significant organisational change. I have an internationally-respected track record of applying industry-leading business, process, industry and product knowledge to complete cross departmental projects that increase clients' operational efficiency, improve risk management, strengthen operational control and reduce costs. I also served as a Member of the Scotia Capital (USA) Inc Board of Directors and the US Executive Committee responsible for risk management oversight of the all bank's US based entities.

## OPERATIONS

**John Byrne**  
Managing Partner



With a career spanning more than 30 years in capital markets and transaction banking, I've held numerous global leadership roles in asset services, securities settlements, global tax operations, trust and custody functions, developing large, innovative global teams across over 40 countries. I have extensive change and risk mitigation experience covering front to back operations for both fixed income and equity products. I'm a committed champion of change and process re-engineering, using six sigma and lean methodologies. For several decades I served at a senior level on the SIFMA Corporate Actions board of directors and I remain active in the industry.

## OPERATIONS

# Our global presence





Sionic is a global consulting firm.

Our focus is on change and we specialise in a unique blend of business and people performance.

We are agile, independent and hold a straightforward philosophy – that outstanding people produce outstanding work.

We tackle complex operational, economic and strategic problems, big and small, for clients dealing with evolving markets, changing risks, intricate regulation, cultural change, technology and digital advances.

At Sionic, we attract, nurture and promote only the finest people. We constantly create new partnerships that focus on broader horizons.

We are a global, sector-wide team of experts of longstanding pedigree, and one of the world's fastest growing independent consulting firms.

We have over 300 professionals based in more than a dozen locations worldwide, including America, Europe and Asia and we continue to expand at pace.

We deliver measurable value. When the world's leading firms in financial services are looking to accelerate their performance, they call us. Our practices are communities of expertise. Combined with our collaborative approach, this framework allows us to foster specialist talent and deliver cross-specialism expertise. Underpinning this is our commitment to offer exceptional client care and to deliver services that are client-responsive, designed to reduce costs, navigate complexity and accelerate business, technology and people performance.

[www.sionic.com](http://www.sionic.com)

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**CONTACT US**

## Our offices include

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